

## Utilisation of raw palm oil mill effluent (POME) as a renewable substrate for fermentative H<sub>2</sub> production: Optimisation using statistical approach

Mohd Fahmi Muhammad Mubarak<sup>a,b</sup>, Muhamad Hanif Md Nor<sup>a,b</sup>, Nor Azman Kasan<sup>c</sup>, Mohd Firdaus Abdul Wahab<sup>a,b</sup>, Azmi Aris<sup>d</sup>, Norahim Ibrahim<sup>a,b</sup>, Zaharah Ibrahim<sup>a,b,\*</sup>

<sup>a</sup> Department of Biosciences, Faculty of Science, Universiti Teknologi Malaysia, 81310 UTM Johor Bahru, Johor, Malaysia

<sup>b</sup> EnVBiotech Research Group, Faculty of Science, Universiti Teknologi Malaysia, 81310 UTM Johor Bahru, Johor, Malaysia

<sup>c</sup> Institute of Tropical Aquaculture, School of Fisheries and Aquaculture Sciences, Universiti Malaysia Terengganu, 21030 Kuala Terengganu, Terengganu, Malaysia

<sup>d</sup> Centre for Environment Sustainability and Water Security (IPASA), Research Institute for Sustainable Environment (RISE), Universiti Teknologi Malaysia, 81310 Johor Bahru, Johor, Malaysia

\* Corresponding author: zaharah@utm.my

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### Abstract

Fermentative H<sub>2</sub> production was studied using raw POME as the substrate with heat-shock pre-treated POME anaerobic sludge acted as the inoculum. The effect of crucial operating variables (initial pH of medium, incubation temperature, and inoculum size) on H<sub>2</sub> production was studied using Box–Behnken Design. A second-order polynomial regression model was generated to evaluate H<sub>2</sub> production trend under conditions tested. The model analysis revealed the high significance of linear effects of initial pH, incubation temperature, and inoculum size ( $P < 0.01$ ) towards H<sub>2</sub> production. Similar results indicated that the interaction effect between initial pH and inoculum size, and interaction effect between incubation temperature and inoculum size were highly significant ( $P < 0.01$ ). The regression model suggested that the optimum conditions were set to a pH value, incubation temperature and inoculum size of 6.4, 58.0°C and 8.0% v/v, respectively. In order to validate the optimum conditions determined by the model, heat-shock pre-treated POME anaerobic sludge was incubated with raw POME under optimum conditions. Validation experiment showed that a cumulative H<sub>2</sub> volume of 239.0 mL was produced. Microbial community analysis of inoculum showed that mixed consortia between *Clostridium* sp. and other obligate anaerobic non-spore forming bacteria, mostly belonging to the *Firmicutes* and *Bacteroidetes* phyla were identified as the major H<sub>2</sub>-producers and were hugely responsible towards fermentative H<sub>2</sub> production.

**Keywords:** Fermentative H<sub>2</sub> production, POME anaerobic sludge, optimisation, Box–Behnken Design, microbial community analysis

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## INTRODUCTION

The palm oil industry in Malaysia has grown rapidly over the years and unsurprisingly, Malaysia is well-known as the world's second largest producer of palm oil, accounted for 10.3% of the world's oils and fats production in the year of 2007 (Lam *et al.*, 2009; Choong *et al.*, 2018). Even though Malaysia is behind Indonesia as the world largest palm oil producer due to the rapid expansion of oil palm plantations, Malaysia still holds its position as the world's largest crude palm oil exporter (AIM, 2015; Awalludin *et al.*, 2015). As for global distribution of palm oil supply annually, more than 80% is accounted between Malaysia, Indonesia and Thailand, combined altogether (Amiruddin *et al.*, 2005; Awalludin *et al.*, 2015). The industry serves as an important backbone to the country's economy and has significantly increased the standard of living of its populations (Yusoff and Hansen, 2007).

In spite of this, sustainability of palm oil production has always been doubted, particularly by non-governmental organisations (NGOs), especially the involvement of palm oil mills, which is palm oil mill effluent (POME), the most existing visible pollutant that is produced by the mills themselves (Poh and Chong, 2010). The extraction of crude palm oil (CPO) involves the boiling of fresh fruit bunch (FFB), which

needs an obviously large amount of water during the extraction process. Each tonne of crude palm oil produced, it is estimated between 5.0 to 7.5 tonnes of water needed with more than 50% of that water used in the extraction process ends up as POME (Yacob *et al.*, 2006; Wu *et al.*, 2009).

Generally, raw POME is an acidic brownish colloidal suspension that consists of 95 – 96% water, 0.6 – 0.7% oil, and 4 – 5% total solids (Onyia *et al.*, 2001; Ahmad *et al.*, 2006), along with high polluting properties with an average level of chemical oxygen demand, COD (50 g/L), biochemical oxygen demand, BOD (25 g/L), total solids (41 g/L), suspended solids (18 g/L) and oil and grease (6 g/L) (Ahmad *et al.*, 2006), which are produced from the mixture of steriliser condensate, separator sludge and hydrocyclone waster in a ratio of 9:15:1 (Wu *et al.*, 2010). It also contains cellulosic material, fat, oil and grease (Rupani *et al.*, 2010; Iskandar *et al.*, 2018). Even though the effluent is non-toxic since no chemical is being added during the extraction process of CPO from FFB, it produces polluted wastewater which not only affects the aquatic niche, but also contributes odour problems to local community (Borja *et al.*, 1996; Loh *et al.*, 2013). Furthermore, due to intense colour of POME, it can directly prevent sunlight from penetrating into the water streams, hence compromising photosynthetic organisms that live in the water (Azreen *et al.*, 2017). This concerning

situation leads to a growing necessity by the palm oil mill industry for a sustainable treatment of POME to reduce the pollution occurred. Along with more than 440 mills functioning throughout Malaysia which produce POME in huge scale every day (Cheng *et al.*, 2010; Gobi and Vadivelu, 2013), the discharge of POME into the water bodies such as rivers poses a possible threat to the environment and its surrounding.

Hydrogen gas (H<sub>2</sub>) can be classified as an ideal, green, and sustainable energy carrier. It contains the biggest gravimetric energy density compared to any hydrocarbon fuel. It can be viewed as an alternative source of renewable energy to fossil fuel since the combustion of H<sub>2</sub> produces no greenhouse gases (GHG) emission (Chong *et al.*, 2009; Azwar *et al.*, 2014; Rezanian *et al.*, 2017). H<sub>2</sub> has been proposed as the ultimate transport fuel for vehicles and vessels because of its non-polluting characteristics, and it also enables the use of highly efficient fuel cells to convert chemical energy into electricity (Forsberg, 2007). This energy is high in demand for various applications of numerous industries, such as the transportation sector, as coolant in electrical generators at power stations and rocket propulsion (Goyal *et al.*, 2006).

Optimisation has been studied and applied in other research areas, including the H<sub>2</sub> production when dealing with wastes as substrates. It is of great significance to produce H<sub>2</sub> from organic wastes by fermentative H<sub>2</sub> production, because it plays the dual role of energy production and waste reduction (Wang and Wan, 2009). Thus, this step is to ensure the system developed fits and increases into projection of production scale when it has been optimised. The optimisation studies of fermentative H<sub>2</sub> production have been widely reported (Wang and Wan, 2008; O-Thong *et al.*, 2008; Wang and Wan, 2009; Rasdi *et al.*, 2009; Nath and Das, 2011; Sreela-or *et al.*, 2011a; Sreela-or *et al.*, 2011b; Shanmugam *et al.*, 2014; Azman *et al.*, 2016a; Azman *et al.*, 2016b; Azman *et al.*, 2016c). This experimental strategy allows multivariate analysis by modification of several variables at one time, and moreover to optimise for the responses of interest. However, due to the nature of the source of microorganisms, substrates used, and system approach, there are wide variations to pinpoint the range level of certain factors.

Suitable microorganism which acts as inoculum is regarded as a significant factor that contributes toward fermentative H<sub>2</sub> production. Even though pure cultures have been studied broadly and in-depth, mixed cultures and naturally mixed consortia still have huge prospect when dealing with more complex substrates such as domestic and industrial wastewaters (Wong *et al.*, 2014; Arimi *et al.*, 2015). Thus, a pre-treatment procedure is necessary to be studied when naturally mixed consortia are used as inoculum in producing H<sub>2</sub>. The pre-treatment works by enriching the H<sub>2</sub>-producers and suppressing the H<sub>2</sub>-consumers. Hence, the degree of H<sub>2</sub> production rate and yield can be bolstered when dealing with inoculum samples that are composed of various microorganisms (Wong *et al.*, 2014). However, it should be noted that this pre-treatment procedure should be imposed with minimal cost which later imposes a huge impact towards scaling-up the production (Pendyala *et al.*, 2012). Heat-shock, acidification, chemical and aeration are among the pre-treatment procedures that have been applied (Mohan *et al.*, 2008; Wang and Wan, 2008; Mohammadi *et al.*, 2011; Pendyala *et al.*, 2012; Wong *et al.*, 2014), in which the heat-shock pre-treatment is preferred over other options due to its easy implementation and cost-effective, with high production rate of H<sub>2</sub> (Wang and Wan, 2008; Mohammadi *et al.*, 2011; Wong *et al.*, 2014).

In this study, Box-Behnken Design (BBD) was chosen by combining 2<sup>k</sup> factorials with incomplete block design, that is very efficient in terms of the number of required runs. It also does not contain any point at the vertices of the cubic region created by the upper and lower limits for each variable compared to Central Composite Design (CCD) (Anderson and Whitcomb, 2000; Montgomery, 2009), which was the main reason for BBD to be chosen in this study. Furthermore, there are limited knowledge and information nowadays in maximising H<sub>2</sub> production, especially raw POME as the substrate and using BBD as a tool of statistical approach for optimisation. Finally, the molecular analysis of microbial population from the sludge used in the study was performed to identify the H<sub>2</sub>-producers which were responsible for H<sub>2</sub> production.

## EXPERIMENTAL

### POME sample collection and characterisation

POME samples were obtained from a local palm oil mill factory in Kulai, Johor, Malaysia. The samples were consisted of POME anaerobic sludge and raw POME, which later were stored in cold room facilities at 4°C to minimise the degradation of samples by indigenous microbial activities, thus preserving the quality of the samples. The characteristics of POME are summarised in Table 1.

**Table 1** Characteristics of raw POME sample.

Parameter	Value (g/L)
COD	35.0 ± 4.5
BOD <sub>5</sub>	23.0 ± 2.3
Colour intensity*	21.2 ± 3.3
Ammoniacal nitrogen	0.2 ± 0.2
pH*	4.6 ± 0.2
Total suspended solids (TSS)	27.0 ± 1.8
Mixed liquor suspended solid (MLSS)	23.1 ± 3.5
Mixed liquor volatile suspended solid (MLVSS)	11.4 ± 3.6

\*All values in g/L except colour (ADMI) and pH

### Preparation of POME anaerobic sludge as source of inoculum

The bacterial inoculum used in this experiment was obtained from the POME anaerobic sludge. The sludge was initially passed through a mesh screen to remove fragments. The concentration of the volatile suspended solids (VSS) of the sludge was 11.4 ± 3.6 g/L. The sludge was pre-treated with heat-shock methods at 80°C for 30 min in order to suppress the H<sub>2</sub>-consumers.

### Fermentation of H<sub>2</sub> production in batch system

The experiment was conducted in 60 mL serum bottles with working volume of 40 mL. Raw POME was used as the substrate for fermentation without any additional nutrient. Heat-shock pre-treated sludge was inoculated with raw POME and incubated for 24 h to produce H<sub>2</sub>. The system was flushed with N<sub>2</sub> for 5 min to ensure anaerobic condition throughout the experiment and was capped tightly with rubber septum. The initial pH, incubation temperature, and inoculum size of experiment were adjusted according to the values determined by BBD. As for pH adjustment, 1 M HCl and 1 M NaOH were used throughout of this experiment.

### The experimental design of Box-Behnken Design

A response surface methodology using BBD was introduced to limit the sample size as the number of parameters grew and to determine the most suitable level for the selected variables to achieve optimal H<sub>2</sub> production from raw POME using heat-shock pre-treated POME anaerobic sludge as the inoculum. Three significant variables, initial pH (A), incubation temperature (B), and inoculum size (C) were studied in BBD. These were assessed at three coded values: low level (-1), centre point (0), and high level (+1), which resulted in 17 experiments including 5 centre points. Each of the experiment was conducted in triplicate and the average of H<sub>2</sub> production was defined as the experimental responses. The coded values of the independent variables were determined using the following equations:

$$x_i = \frac{X_i - X_0}{\Delta X_i} \quad - \text{Eq. 1}$$

where;

- $x_i$  is the dimensionless coded value of variable;
- $X_i$  is the actual value of the *i*th variable;
- $X_0$  is the actual value of  $X_i$  in the centre point;
- $\Delta X_i$  is the increment value.

The experimental results were then fitted into the following second-order polynomial regression model to define the behaviour of the system:

$$Y = \beta_0 + \sum_{i=1}^k \beta_i X_i + \sum_{ii=1}^k \beta_{ii} X_i^2 + \sum_{ij=1}^k \beta_{ij} X_i X_j \quad - \text{Eq. 2}$$

where;

- Y is the predicted response values (H<sub>2</sub> production);
- k is the number of factor variables;
- β<sub>0</sub> is the fixed value at centre point;
- β<sub>i</sub> is the linear coefficient;
- X<sub>i</sub> is the value of ith variable;
- β<sub>ii</sub> is the quadratic coefficient;
- β<sub>ij</sub> is the interaction coefficient.

Statistical regression analysis, analysis of variance (ANOVA) and 3D graphical plotting, Design-Expert® 7.0 software (Stat-Ease Inc., Minneapolis MN, USA) were used to determine the fitness of model, significance of the variables and their interactions as well as their effects towards the H<sub>2</sub> production. Table 2 shows the summary of the BBD experimental design for this study.

**Table 2** Coded and actual values of experimental variables used in BBD.

Variable	Component	Level		
		-1	0	+1
A	Initial pH	6.25	6.50	6.75
B	Incubation temperature (°C)	57.5	60.0	62.5
C	Inoculum size (% v/v)	5.0	7.5	10.0

**Verification of the model**

In order to validate the optimum conditions predicted by the model, a set of batch system was performed under the optimum conditions. The results obtained in the verification experiment were then used to determine the accuracy of the model.

**Analytical methods and analysis**

The water displacement method was used to collect and measure the volume of biogas produced. The fraction of H<sub>2</sub> in the biogas was determined by a Gas Chromatograph-Thermal Conductivity Detector (Agilent 7890B Model, Agilent Technologies, Santa Clara CA, USA) fitted with HayeSep Q and MolSieve 5A columns. He and Ar were used as the carrying gases at the flow rate of 45 mL/min. The temperatures of the oven, column inlet and detector were 60°C, 190°C and 250°C, respectively. The biogas was manually injected and the injection volume was 1 mL. All wastewater parameters that conducted in this study were measured according to the Standard Methods (APHA, 2012).

The H<sub>2</sub> production was calculated from the serum bottle headspace measurements of gas composition and the total volume of biogas at each interval using the following equation:

$$V_{H,i} = V_{H,i-1} + C_{H,i}(V_{G,i} - V_{G,i-1}) + V_H (C_{H,i} - C_{H,i-1}) \quad - \text{Eq. 3}$$

V<sub>H,i</sub> and V<sub>H,i-1</sub> are cumulative H<sub>2</sub> volumes at the current (i) and previous (i-1) time intervals, V<sub>G,i</sub> and V<sub>G,i-1</sub> are the total biogas volumes in the current and previous time intervals, C<sub>H,i</sub> and C<sub>H,i-1</sub> the fraction of H<sub>2</sub> in the headspace of the bottle measured using gas chromatography in the current and previous intervals, and V<sub>H</sub> the total volume of headspace in the bottle (Chong et al., 2009; Azman et al., 2016c).

**Microbial community analysis**

*Sampling, DNA extraction, and PCR*

Sludge was retrieved from the bottom of the serum bottle and stored at 4°C. The total genomic community DNA was extracted using DNeasy PowerSoil Kit (Qiagen, USA). The procedure was performed in accordance with the manufacturer’s protocol. The PCR mixture (50 µL total volume) contained GoTaq® Master Mixes (Promega Corp., Fitchburg WI, USA), nuclease free water, 100 ng/L of DNA template

and 10 µM of each primer (Fd1 = 5’ AGA GTT TGA TCC TGG CTC AG 3’ and rD1 = 5’ ACG GCT ACC TTG TTA CGA CTT 3’). The PCR was performed in an Eppendorf™ Mastercycler™ Nexus Thermal Cycler (Thermo Fisher Scientific, Waltham MA, USA). The amplification was performed with thermal cycling including initial denaturation at 95°C for 2 min, 30 cycles of denaturation (94°C, 30 s), annealing (55°C, 30 s), and extension (72°C, 1 min), and finally extension at 72°C for 10 min (Bay, 2014).

*Cloning 16S rRNA*

PCR products were purified from a 1% agarose gel using a gel extraction kit according to the manufacturer’s instructions (Qiagen, Germany). The recovered PCR fragment was cloned into pGEM®-T vector (Promega Corp., Fitchburg WI, USA) and transformed into JM109 High-Efficiency Competent Cells (Promega Corp., Fitchburg WI, USA). White colonies were randomly selected from plates.

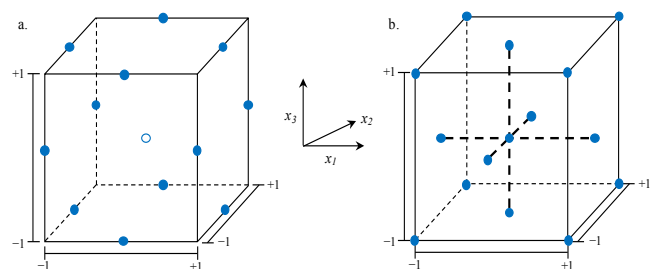
*Sequences analysis*

The recombinant plasmids were extracted using EasyPure® Plasmid MiniPrep Kit (TransBionovo Co. Ltd., China) according to the manufacturer’s instructions. Restriction endonuclease EcoRI (TransBionovo Co. Ltd., China) was used on a 1% agarose gel to verify the insertion of fragment. Approximately 5 nucleotides were sent to Apical Scientific Sdn. Bhd., Malaysia for sequencing. Chromatograms were edited using ABI Sequence Scanner 2 and GeneDoc software, while DECIPHER (Wright et al., 2012) was used to scan for potential chimeric sequences. The sequences were compared to known 16S rRNA sequences in the GenBank™ database, using the basic logical alignment search tool (BLAST) to locate nearly exact matches in the GenBank database. DNA sequences were aligned using the program ClustalW (Thompson et al., 1994) and further edited manually. Phylogenetic analyses were performed using the neighbour-joining (NJ) method by the MEGA ver. 7.0 (Kumar et al., 2016).

**RESULTS AND DISCUSSION**

**Box-Behnken design**

The introduction of BBD, which formed by combining 2<sup>k</sup> factorials with incomplete block design and is very efficient in terms of the number of required runs. It also does not contain any points at the vertices of the cubic region created by the upper and lower limits for each variable compared to CCD. A strong advantage of BBD is when the points on the corners of the cube represent factor-level combinations that are prohibitively expensive or impossible to test due to the physical process constraints (illustrates in Fig. 1) (Anderson and Whitcomb, 2000; Montgomery, 2009).



**Fig. 1** A comparison between two designs; a) BBD for 3 factors, and b) face-centred CCD for k = 3.

A total of 17 experiments with different combinations among initial pH (A), incubation temperature (B), and inoculum size (C) were labelled as independent variables to determine their individual and combined effects on H<sub>2</sub> production (Y) through the development of a statistical correlation model using BBD. Central points consisted of five experiments were designated in this study and the remaining runs were evaluated in two different levels (lower level (-1) and higher level (+1)) as according to Table 3.

**Table 3** The BBD experimental design.

Run	A	B	C	Cumulative H <sub>2</sub> production (mL)	
				Experimented	Predicted
1	6.25	57.5	7.5	231.3	232.5
2	6.75	57.5	7.5	195.4	196.8
3	6.25	62.5	7.5	209.7	208.3
4	6.75	62.5	7.5	171.0	169.8
5	6.25	60.0	5.0	167.2	169.3
6	6.75	60.0	5.0	103.9	105.7
7	6.25	60.0	10.0	194.0	192.2
8	6.75	60.0	10.0	183.8	181.7
9	6.50	57.5	5.0	172.1	168.9
10	6.50	62.5	5.0	127.6	127.0
11	6.50	57.5	10.0	201.4	202.0
12	6.50	62.5	10.0	189.5	192.7
13	6.5	60	7.5	227.0	222.4
14	6.5	60	7.5	223.0	222.4
15	6.5	60	7.5	226.0	222.4
16	6.5	60	7.5	218.0	222.4
17	6.5	60	7.5	218.0	222.4

Based on the 17 experimental runs by referring to Table 3, the data showed that the model could be best explained in quadratic model. Thus, the equation for this model was expressed as:

$$Y_{H_2} = 222.40 - 18.51A - 12.80B + 24.74C - 0.70AB + 13.28AC + 8.15BC - 15.49A^2 - 5.06B^2 - 44.69C^2 \quad \text{--- Eq. 4}$$

where Y is the predicted cumulative H<sub>2</sub> production while A, B and C represent for coded values of initial pH, incubation temperature, and inoculum size, respectively.

The determination of the fitness of the model was based on the *F*-value and *p*-value from the statistical characteristic of the quadratic polynomial model. The *F*-value demonstrates the significant effect of the variance towards the model by comparing the ratio of the mean square (MS) model to error mean square. By referring to Table 4, *F*-value of the model was 132.88 with the *p*-value of < 0.0001. This indicated that this model was significant based on the *p*-value obtained.

In addition, the *F*-value and *p*-value could also be used to determine the significance and interactive strength among each of the individual model term. According to this study, results showed that factor A, B, C, AC, BC, A<sup>2</sup>, B<sup>2</sup> and C<sup>2</sup> had profoundly affected the H<sub>2</sub> production with *p*-value of < 0.05, excluding factor of AB. Besides, the *p*-value lack-of-fit test of the model was > 0.05 (*p*-value of 0.5567), which was illustrated as insignificant. Hence, with the insignificant of lack-of-fit test, it showed that the model had been fitted well with the data and would provide a solid foundation for predicting H<sub>2</sub> production under any variable combination.

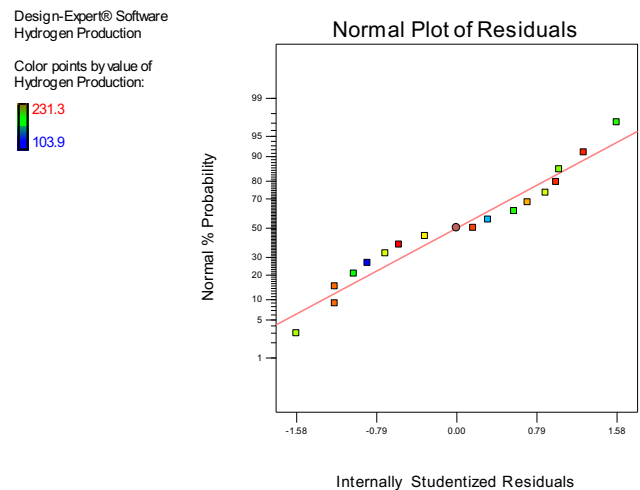
**Table 4** Analysis of variance (ANOVA) and results of regression line analysis of BBD.

Source	Sum of Squares	df	Mean Square	F-value	p-value
Model	19971.78	9	2219.09	132.88	< 0.0001
A	2741.70	1	2741.70	164.17	< 0.0001
B	1310.72	1	1310.72	78.48	< 0.0001
C	4895.55	1	4895.55	293.14	< 0.0001
AB	1.96	1	1.96	0.12	0.7420
AC	704.90	1	704.90	42.21	0.0003
BC	265.69	1	265.69	15.91	0.0053
A <sup>2</sup>	1009.95	1	1009.95	60.47	0.0001
B <sup>2</sup>	107.91	1	107.91	6.46	0.0386
C <sup>2</sup>	8408.31	1	8408.31	503.48	< 0.0001
Residual	116.90	7	16.70		
Lack of Fit	43.70	3	14.57	0.80	0.5567
Pure Error	73.20	4	18.30		
Cor Total	20088.68	16			

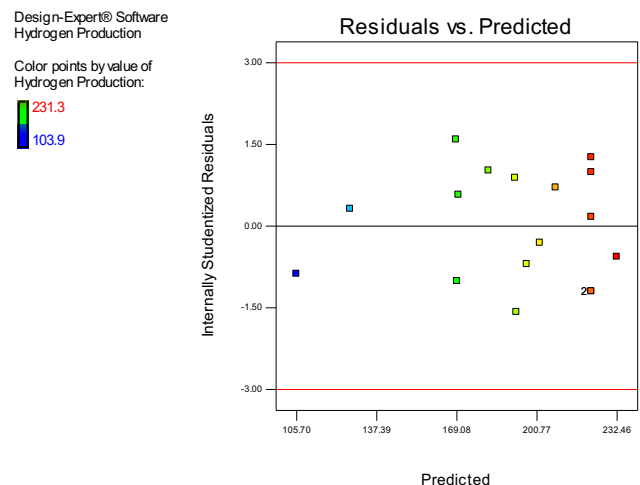
**Note:** R<sup>2</sup> = 0.9942; adjusted R<sup>2</sup> = 0.9867; predicted R<sup>2</sup> = 0.9595; adequate precision = 40.444

The statistical significance of the model was further checked by other regression analyses based on Table 4. The R<sup>2</sup> coefficient value was 0.9942, which is suggested as a good correlation between experimental and predictor variables since 99.42% of the response variation could be explained using the model. The adjusted R<sup>2</sup> value (0.9867) was very close to predicted R<sup>2</sup> value (0.9595), which indicated that the model was highly accurate in predicting the response. The adequate precision value for this study was 40.444. This adequate precision value demonstrated that the model has strong adequate discrimination ability since the value was greater than 4. Cao *et al.* (2008) reported that the coefficient of variation (CV) can be used to represent the relative variability of the model, which is measured in terms of the distribution of residual data variations around the mean value, and the model can be classified as reasonably reproducible if the CV is below 10%. Hence, the CV for this study was reflected at 2.13%, indicating that the model was sufficient to navigate in high precision and was dependable.

Singh *et al.* (2013) reported that the normal plot of residual is a crucial tool to diagnose, validate and explain the systematic departures of residuals from the statistical assumptions. Fig. 2 illustrates the residuals were plotted against the normal distribution of the model. Results indicated that the residuals for H<sub>2</sub> production were normally distributed since all the points have been clustered around the diagonal line and were independent of each other. Hence, the normal distribution plot had obeyed the assumption of normality which specified that the deviation from the linear was very minor. Therefore, it could be concluded that all residuals came from a normal distributed population and the error of variances was homogenous (Anderson and Whitcomb, 2000; Montgomery, 2009).



**Fig. 2** Normal plot of residuals for H<sub>2</sub> production.

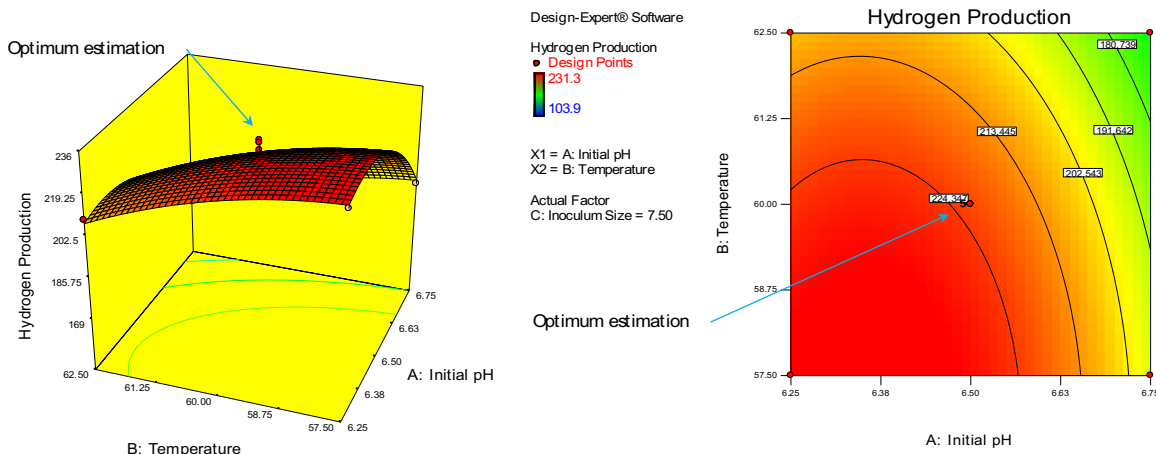


**Fig. 3** Residuals against predicted plot for H<sub>2</sub> production.

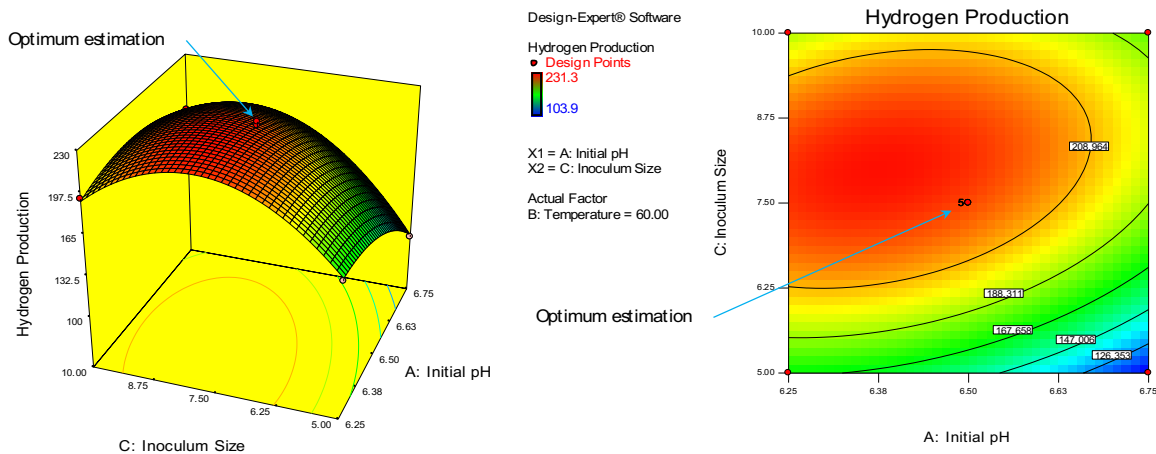
Fig. 3 illustrates the residuals against predicted response plot which subjected for checking the appearance of non-constant variance in model by evaluating the pattern of the plotted points. In this study, the residual was randomly scattered without any specific pattern and trend such as a V-shaped pattern, which demonstrated that the residuals were from a common normal distribution with constant variance, but with no correlation between different residuals (Montgomery, 2009; Hoerl, 2012). Hence, the model was adequately fitted to the data, and was able to predict the response.

**Localisation of H<sub>2</sub> production optimum conditions**

Fig. 4 until Fig. 6 illustrate the three-dimensional (3D) response surface plots and corresponding contour graph for H<sub>2</sub> production. These were indicative of the infinite combined effects of any two independent variables towards maximum H<sub>2</sub> production with all other independent variables fixed at zero level. The optimum H<sub>2</sub> production value was at the central point of the smallest ellipse in the contour graph or at the maximum hump of the 3D graph.



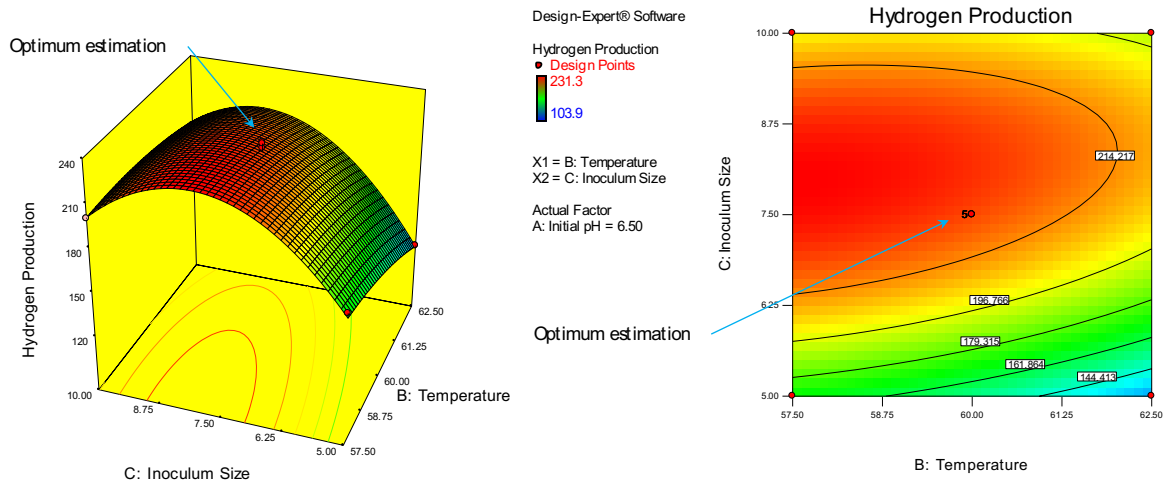
**Fig. 4** 3D response plots and 2D contour plots showing the effects of initial pH, incubation temperature and their mutual interaction on cumulative H<sub>2</sub> production with optimum level of inoculum size at 7.5% v/v



**Fig. 5** 3D response plots and 2D contour plots showing the effects of initial pH, inoculum size and their mutual interaction on cumulative H<sub>2</sub> production with optimum level of incubation temperature at 60°C

Initial pH of the substrate which acted as the medium for H<sub>2</sub>-producers was considered as an important factor which affected fermentative H<sub>2</sub> production. It was directly involved with the metabolic activity of the H<sub>2</sub>-producers as well as the metabolism pathway (Wang and Wan, 2009; Azman et al., 2016c; Wang and Yin, 2017). Based on Fig. 4 and Fig. 5, when the initial pH value of substrate was increased from 6.50 to 6.75, a drastic drop in H<sub>2</sub> production could be observed. Similar studies were reported previously by Lin and Cheng (2006) and Dareioti et al. (2014), in which their H<sub>2</sub> production was increased until pH values of 6.0 and 6.5 before being subsequently decreased when the pH values exceeded pH 7.5 and pH 9.0, respectively. It has been demonstrated that in an appropriate range, increasing the pH could improve the ability of H<sub>2</sub>-producers to produce H<sub>2</sub> during fermentative H<sub>2</sub> production. However, pH value of the substrate that is too high might result in a decrease in the activity of hydrogenase enzyme of H<sub>2</sub>-producers with the shifting metabolic pathway from the acidogenic phase to the solventogenic phase, which in turn could lead to a reduction in H<sub>2</sub> production (Gadhe et al., 2013; Azman et al., 2016c).

Incubation temperature was a crucial factor which would influence H<sub>2</sub> production by H<sub>2</sub>-producers (Wang and Wan, 2009; Junghare et al., 2012; Azman et al., 2016c; Wang and Yin, 2017). As shown in Fig. 4 and Fig. 6, the production of H<sub>2</sub> was gradually decreased when the incubation temperature was elevated from 60.0°C to 62.5°C. This scenario was probably due to the switch from acidogenesis pathway of fermentative H<sub>2</sub> production to the solventogenesis phase in which acetic acid and butyric acid were further processed to produce solvents including acetone, butanol and ethanol (Liu et al., 2011; Khamaiseh et al., 2014; Azman et al., 2016c). Furthermore, high temperature imposed on H<sub>2</sub>-producers might deteriorate the optimum physiological condition which involved its functional enzymes (Wang and Wan, 2009). Yokoyama et al. (2007), Lee et al. (2008) and Zhang et al. (2015) showed in their reports that beyond their optimal temperature, the H<sub>2</sub> production was tended to decline even though their inoculum were productive at thermophilic condition. Thus, an optimal condition of incubation temperature was highly dependable on microbial biocatalysts which later were responsible towards higher H<sub>2</sub> production.



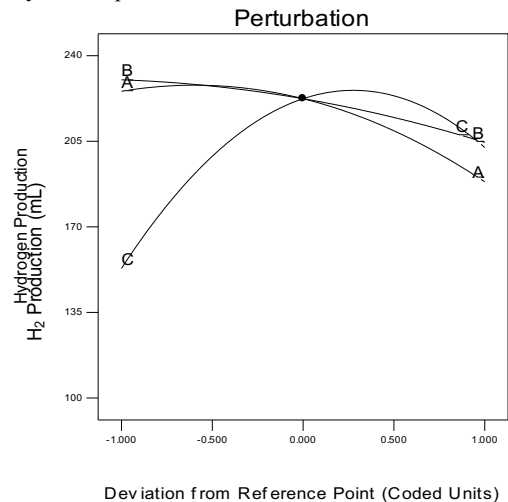
**Fig. 6** 3D response plots and 2D contour plots showing the effects of incubation temperature, inoculum size and their mutual interaction on cumulative H<sub>2</sub> production with optimum level of initial pH at 6.5

An appropriate inoculum size mixed with substrate might lead to higher H<sub>2</sub> production as demonstrated in Fig. 5 and Fig. 6. H<sub>2</sub> production was increased from 5.0% v/v until 7.5% v/v but started to decline when inoculum size exceeded 7.5% v/v. This scenario explained that when the inoculum size was increased, the substrate available for the H<sub>2</sub>-producers was tended to be limited, leading to certain microorganisms starving, hence decreasing the survival rate of H<sub>2</sub>-producers available for H<sub>2</sub> production. This scenario was also observed by Chittibabu *et al.* (2006) and Lazaro *et al.* (2015) in their studies which both concluded that up to a certain and suitable value, an increase in inoculum concentration could positively affect the H<sub>2</sub> production.

Based on Fig. 4 until Fig. 6, it was obvious that initial pH, incubation temperature, and inoculum size exhibited significant influence towards H<sub>2</sub> production. The value of initial pH would affect the electric charge on the cell membrane surface, which gave impacts on both microbial biocatalysts activity and nutrient adsorption. The optimal pH condition for fermentative H<sub>2</sub> production was ranged from pH 4.5 to pH 9.0, in which pH value lower than 4.5 could lead to the microorganisms' deterioration and would further suppress the activity of H<sub>2</sub> production (Ghimire *et al.*, 2015). Therefore, a low initial pH could simply prevent substrate from being further utilised for H<sub>2</sub> production. However, Khanal *et al.* (2003) reported that the neutral operational initial pH favoured the acetic acid pathways which significantly produced higher H<sub>2</sub> production compared to butyric acid pathways that preferred acidic pH condition. Incubation temperature influenced the microbial biocatalysts activity significantly, where low temperature might inhibit the crucial enzymes for H<sub>2</sub> production and lead to both low H<sub>2</sub> production rate and low substrate utilisation rate. Even though there was no concrete conclusion of optimal temperature for fermentative H<sub>2</sub> production, the best and effective H<sub>2</sub> production was between 35°C to 37°C and 55°C to 60°C for mesophilic and thermophilic temperature, respectively (Wang and Wan, 2009; Wang and Wan, 2011; Zhang *et al.*, 2015). Suitable inoculum size was crucial in producing high yield of H<sub>2</sub>, hence both inoculum size and substrate available were dependent towards each other. Inoculum size that exceeded the limit in the system could lead to low substrate available which later resulting in low H<sub>2</sub> production and vice-versa due to survival of microorganisms' lack of nutrient (Chittibabu *et al.*, 2006; Lazaro *et al.*, 2015).

The 3D and contour plots from Fig. 4 until Fig. 6 were used to present the predicted response as a function of process factors (Anderson and Whitcomb, 2005). Yet, the effects of two factors could only be presented for each graph. Therefore, perturbation plots were used to demonstrate the effects by changing each factor towards the response and at the same time keeping all other factors constant (Anderson and Whitcomb, 2005). Fig. 7 illustrates the perturbation plot consisted of all factors studied in BBD. Based on the Fig. 7, it was clear that all factors had contributed towards H<sub>2</sub> production. Both initial pH (factor A) and incubation temperature (factor B) showed that increasing

the level could cause H<sub>2</sub> production to decrease gradually after the centre point value while the inoculum size (factor C) depicted an increase in H<sub>2</sub> production up to the centre point value and started to decline beyond the point.



**Fig. 7** Perturbation plot showing the effect of each factor towards H<sub>2</sub> production at their respective middle point. Legend: A – initial pH, B – incubation temperature, and C – inoculum size

### Model validation

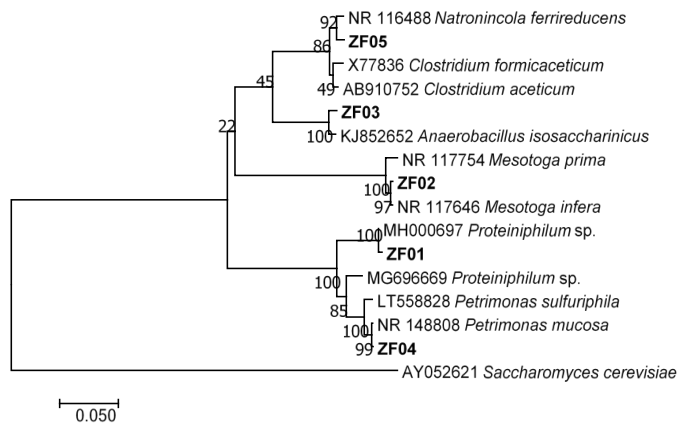
The optimum H<sub>2</sub> production using raw POME as the substrate with heat-shock pre-treated POME anaerobic sludge as the inoculum was predicted by the Design-Expert® software according to the desirability function which had assigned relative importance to the maximum H<sub>2</sub> production. Based on the solution with highest desirability as suggested by the program, the optimum parameters for maximum H<sub>2</sub> production were as followed: 6.4 of initial pH, 58.0°C of incubation temperature and 8.0% v/v of inoculum size. All validation experiments were carried out in 60 mL serum bottle, in triplicate as for the accuracy of the data.

The maximum predicted and average cumulative H<sub>2</sub> production observed after validation experiments were 234.8 mL and 239.0 mL, respectively. By comparing between predicted and actual experiment results, it showed that there was 1.79% increment of observed H<sub>2</sub> production value compared to predicted value. Therefore, the findings demonstrated the high effectiveness of the model in predicting the optimal level of factors, which indicated the optimisation process was successful.

### Microbial community analysis

16S rRNA analysis was carried out based on the DNA extract from the heat-shock pre-treated sludge taken from the bottom of serum bottle at the end of the experiment. All had a DNA insert approximately 1350

bp from 22 screened clones. The phylum *Bacteroidetes* recorded the biggest clone portion at 59.1%, followed by phylum *Firmicutes* (31.8%) and phylum *Thermotogae* (9.1%) (Table S1, Supplementary Data). Partial DNA sequences were obtained from representative amplicons. Five clones were sequenced and were used to search the GenBank nucleotide database with the BLAST search tool. The sequences obtained in this study have been deposited in the GenBank database under accession numbers of MG808388 (ZF01), MG808387 (ZF02), MG825424 (ZF03), MG829860 (ZF04) and MG829859 (ZF05). Fig. 8 shows the phylogenetic tree between clones and other related microorganisms with *Saccharomyces cerevisiae* as the out-group.



**Fig. 8** Phylogenetic tree showing how clones ZF01 – ZF05 are related to other microorganisms

Based on Fig. 8, *Clostridium* sp., which are mainly the  $H_2$ -producers were presented. However, Cabrol *et al.* (2017) reported that *Clostridium* sp. might not be the most adequate  $H_2$ -producers and other obligate anaerobic non-spore forming bacteria, mostly belonging to the *Firmicutes* and *Bacteroidetes* phyla, have been identified as major  $H_2$ -producers, with specific metabolisms which were enable to maintain acceptable (even though suboptimal)  $H_2$  performance when *Clostridium* were inactive. This scenario was supported by reports from Luo *et al.* (2008) and Liu *et al.* (2009) which stated that the non-spore formers were persistent and even dominant in the microbial community of mixed consortia even the operating conditions were favourable such as inoculum source, selection strategy, substrate, and operative parameters. As discovered by Hahnke *et al.* (2016), *Petrimonas* sp. and *Proteiniphilum* sp. were those contributed on  $H_2$  production which belong to phylum *Bacteroidetes*.

## CONCLUSION

In conclusion,  $H_2$  production was successfully optimised through BBD based on these three factors; initial pH, incubation temperature, and inoculum with their assigned range. The maximum cumulative  $H_2$  production was obtained at 239.0 mL under an optimised condition of initial pH of 6.4, incubation temperature at 58.0°C and 8.0% v/v of inoculum size. The POME anaerobic sludge used in this study was also studied for microbial community analysis. Findings showed that not only *Clostridium* sp. were contributed on  $H_2$  production, but also other obligate anaerobic non-spore forming bacteria, mostly belonging to the *Firmicutes* and *Bacteroidetes* phyla, have been identified as major  $H_2$ -producers.

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#### Supplementary Data

**Table S1** 16S rRNA clone analysis of microbial community in sludge.

Clone types	No. of clones	Size (bp)	Phylogenetic linkage			Similarity (%)	Relative abundance (%)
			Phylum	Family	Closest relative		
ZF01	8	1384	Bacteroidetes	Dysgonamonadaceae	<i>Proteiniphilum</i> sp.	99	36.4
ZF02	2	1345	Thermotogae	Kosmotogaceae	<i>Mesotoga infera</i>	99	9.1
ZF03	2	1329	Firmicutes	Bacillaceae	<i>Anaerobacillus arseniciselenatis</i>	96	9.1
ZF04	5	1385	Bacteroidetes	Porphyromonadaceae	<i>Petrimonas mucosa</i>	99	22.7
ZF05	5	1314	Firmicutes	Clostridiaceae	<i>Clostridium aceticum</i>	97	22.7